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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Chui-Shan Teresa Lam, et al. ART UNIT: 3600  
SERIAL NO.: 10/037,153 EXAMINER: C. Sherr  
FILED: December 21, 2001  
TITLE: METHOD AND SYSTEM FOR INITIALIZING A KEY MANAGEMENT SYSTEM

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to their duty of good faith and candor as set forth in 37 CFR 1.56(a), Applicants request that the reference(s) cited on attached form PTO-1449 (copies of which are enclosed) be considered by the Examiner in connection with the search of the prior art required by 37 CFR 1.104. This Statement is being filed under one of the following provisions of § 1.97:

[ XX ] This Statement is being filed under 37 CFR 1.97(b) prior to the latest of: (1) three months from the filing date of this Application; (2) three months after entry of the national stage in the case of an international application; (3) the mailing date of a first Office Action on the merits; or (4) before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

[ ] This Statement is being filed under 37 CFR 1.97(c) after the period specified in § 1.97(b) but prior to the earlier of: (1) a final Office Action under § 1.113; (2) a Notice of Allowance under § 1.113; or (3) an action that otherwise closes prosecution in the application. This Statement is accompanied by either:

- [ ] the fee set forth in § 1.17(p); or  
[ ] the statement specified in § 1.97(e).

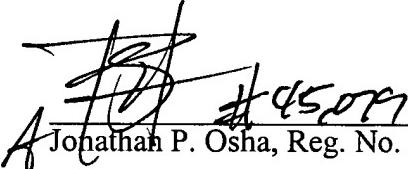
[ ] This Statement is being filed under 37 CFR 1.97(e) after the period specified in § 1.97(c) but prior to or with payment of the issue fee. Each item of information in this statement was cited in a communication from a foreign patent office in a counterpart foreign

application, the communication being dated July 29, 2003, which is not more than three months prior to the filing of this statement.

Applicants believe no petition is necessary; however, if this belief is incorrect, please consider this paper as such.

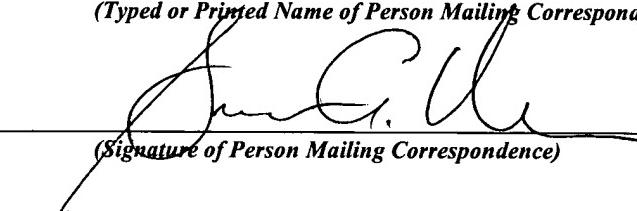
Applicants believe there is no fee required for filing this paper. If, however, Applicants are in error regarding the fee, the Commissioner is hereby authorized to charge Deposit Account 50-0591 of Rosenthal & Osha L.L.P. under Order No. 09469.010001 for any additional fees and/or credits.

Respectfully submitted,

Date: 8/8/03  
  
A \_\_\_\_\_  
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<p><b>CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)</b></p> <p>Applicant(s): Chui-Shan Teresa Lam, et al.</p>			<b>Docket No.</b> 09469.010001	
<small>OCT 1 2003 U.S. PATENT AND TRADEMARK OFFICE PATENT &amp; TRADEMARK OFFICE</small> <b>AUG 08 2003</b>	<b>Serial No.</b> 10/037,153	<b>Filing Date</b> 12/21/2001	<b>Examiner</b> C. Sherr	<b>Group Art Unit</b> 3600
<b>METHOD AND SYSTEM FOR INITIALIZING A KEY MANAGEMENT SYSTEM</b>				
<p>I hereby certify that the following correspondence:</p> <p style="text-align: center;"><u>Information Disclosure Statement along with the required papers</u>  <i>(Identify type of correspondence)</i></p> <p>is being deposited with the United States Postal Service "Express Mail Post Office To Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on <u>August 8, 2003</u>.  <i>(Date)</i></p> <p style="text-align: center;"><u>Grace A. Wise</u>  <i>(Typed or Printed Name of Person Mailing Correspondence)</i></p>  <p style="text-align: center;"><i>(Signature of Person Mailing Correspondence)</i></p> <p style="text-align: center;"><u>EV322007658US</u>  <i>("Express Mail" Mailing Label Number)</i></p> <p style="text-align: right;"><b>RECEIVED</b>            AUG 12 2003  <u>EV322007658US</u>  <b>GROUP 3600</b></p> <p>Note: Each paper must have its own certificate of mailing.</p>				



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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>					
(use as many sheets as necessary)					
Sheet 1	1	of	1	Attorney Docket Number	09469.010001

<b>U.S. PATENT DOCUMENTS</b>					
		<b>U.S. Patent Document</b>			
Examiner Initials*	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear
		US 2001/ 0026619 A1	10/04/2001	Howard, Jr., et al.	
		US 2001/ 0019614 A1	09/06/2001	Madoukh	

<b>FOREIGN PATENT DOCUMENTS</b>					
		<b>Foreign Patent Document</b>			
Examiner initials*	Cite No.	Office	Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document
					Date of Publication of Cited Document MM-DD-YYYY

<b>OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS</b>					
Examiner initials*	Cite No.	References			RECEIVED T**
		International Search Report; July 29, 2003.			AUG 12 2003

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*\* Applicant is to place a check mark here if English language is present or an English Translation or Abstract is attached.